Atty's Dkt: BAUMHOF2 0/537700

In re Application of: Christoph BAUMHOF Serial No. 10/537,700

IA Filing Date: December 1, 2003

For: MEMORY SYSTEM COMPRISING A PLURALITY OF...

Application Division
ATTN: PCT
Washington, D.C.
Confirmation No. 8559
Date: December 7, 2005

#### LATE SUBMISSION OF DECLARATION AND/OR TRANSLATION IN APPLICATION FILED UNDER 35 USC 371

U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop Missing Parts 401 Dulany Street Alexandria, VA 22314

Sir:

The present communication is in response to the "NOTICE OF MISSING REQUIREMENTS UNDER 35 USC 371..." dated October 31, 2005. Attached hereto is/are:

- [XX] An executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title and priority information.
- [ ] An exact English language translation of the PCT application as originally filed.
  - [ ] Exact English language translation of the Annexes to the International Preliminary Examination Report containing substitute pages \_\_\_\_ of the specification and new claims \_\_\_\_ to be used for examination.
- [XX] A Preliminary Amendment [XX] Fees are reduced due to elimination of claim multiple dependencies.
- [ ] Copy of the "Sequence Listing" in computer readable form (CRF) and statement that contents of the CRF are the same as the paper copy.
- [ ] An Information Disclosure Statement with 08A- 1449 and references.
- [XX] Additional fees as calculated below:

[XX] Search fee			\$400.00
[XX] Examination fee			\$200.00
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [XX] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$130.00
Number of each additional 50 pages or fraction thereof (round up to a whole number)			
		X \$250.00	
Number of Claims Previously Paid For	Number of Extra Claims	Rate	
13- 20 =		X \$ 50.00	\$
2- 3 =		X \$200.00	\$
Multiple Dependent Claims (if applicable)		+ \$360.00	\$
TOTAL OF ABOVE CALCULATIONS			\$730.00
Reduction of ½ for filing by small entity, if applicable. Applicant claims small entity status. See 37 CFR 1.27.			<\$365.00>
		SUBTOTAL	\$365.00
Processing fee of \$130.00 for late furnishing of the English translation.			\$
		TOTAL NATIONAL FEE	\$365.00

[XX] Total fees enclosed: \$ 365.00

- [XX] Credit Card Payment Form, PTO-2038, authorizing payment the amount of \$ 365.00 is enclosed to cover the above fees.
- [XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does <u>not</u> include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, Attorneys for Applycant(s)

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By:

Sherioan Neimark

Registration No. 20,520





## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandris, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. **BAUMHOF2** 10/537,700 Christoph Baumhof -INTERNATIONAL APPLICATION NO PCT/EP03/13495 1444 I.A. FILING DATE PRIORITY DATE RECEIVED BROWDY AND NEIMARK, P.L.L.C 12/01/2003 12/04/2002 624 NINTH STREET, NW SUITE 300 WASHINGTON, DC 20001-5303 **CONFIRMATION NO. 8559** NOV **FORMALITIES LETTER** BROWDY AND NEIMARK \*OC000000017354932\*

Date Mailed: 10/31/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

WASHINGTON, D.C. 20004

- Indication of Small Entity Status
- Copy of the International Application filed on 06/06/2005
- English Translation of the IA filed on 06/06/2005
- Copy of the International Search Report filed on 06/06/2005
- Information Disclosure Statements filed on 06/06/2005
- Small Entity Statement filed on 06/06/2005
- Request for Immediate Examination filed on 06/06/2005
- U.S. Basic National Fees filed on 06/06/2005
- Priority Documents filed on 06/06/2005

MSP/PRET. AMD = 31DE 2005.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$365 for a Small Entity:

## • \$65 Surcharge.

- The application search fee has not been paid. Applicant must submit \$200 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the
  examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if
  submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee
  was not paid before July 1, 2005.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

## PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/537,700	PCT/EP03/13495	BAUMHOF2

FORM PCT/DO/EO/905 (371 Formalities Notice)